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Microalgae: bibliometric relationship of biorefineries, circular economy and the environment*

Microalgas: relación bibliométrica de las biorrefinerías, la economía circular y el medio ambiente

SÁNCHEZ-ORTEGA, JORGE-LUIS¹; LÓPEZ-GALÁN, JORGE-ENRIQUE²

ABSTRACT

Microalgae have various advantages over vegetable crops, such as their growth speed, the greater consumption of CO₂ and production of O₂, and the vast variety of products where they can be used. For this reason, the present work seeks to quantify the trends that exist in microalgae research, by running related keywords in Scopus databases in a span of ten years. The central words used were related to biorefineries, microalgae, products and simulation. Likewise, the Wizdom.ai platform and VosViewer data analysis software were used. It was found that between 2015 and 2019, publications increased around 74 and 89 %. The graphical and statistical analysis through VosViewer allowed identifying the relationship between biorefinery and microalgae. Which showed that there is a trend in publications on the topic of biofuels, and that there is still little information corresponding to the biorefinery concept related to the analysis between microalgae

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¹ Universidad del Cauca, Facultad de Ciencias Agrarias. Mg.Sc. Ingeniería Química. Popayán, Colombia. <https://orcid.org/0000-0002-9450-6592>

² Universidad del Valle, Escuela de Ingeniería Química, Grupo de Investigación en Biocombustibles y Biorrefinerías GRUBIOC. Dr.Sci. Cali, Colombia. <https://orcid.org/0000-0002-5829-1360>

Correspondencia: jsanchez@unicauca.edu.co

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and process simulation. Based on a global analysis of the abstracts of most of the publications, the main difficulties and information gaps in the processes under the biorefinery concept were identified, especially relating processes, costs, circular economy and environmental aspects.

KEYWORDS: Microalgae; Bibliometric analysis; Databases; Biorefinery; Process simulation; *Chlorella vulgaris*; Biofuels; Bioactive compounds; Biomass; VosViewer software.

RESUMEN

Por las ventajas que tienen las microalgas frente a los cultivos vegetales, como la rapidez de su crecimiento, el mayor consumo de CO₂ y producción de O₂, y la mayor variedad de productos que se pueden aprovechar, con el presente trabajo se buscó cuantificar las tendencias que hay en el mundo investigativo. Para dicho fin, teniendo en cuenta diferentes palabras claves relacionadas de varias formas, se realizó una búsqueda de los últimos diez años, utilizando las bases de datos de Scopus. Las palabras centrales utilizadas estuvieron más relacionadas con biorrefinerías, microalgas, productos y simulación. Igualmente se utilizó la plataforma Wizdom.ai y el software de análisis de datos VosViewer. Se encontró que entre los años 2015 y 2019, las publicaciones aumentaron entre el 74 y el 89 %. El análisis gráfico y estadístico a través de VosViewer permitió identificar que la relación entre biorrefinería y microalgas está representada por una tendencia en publicaciones sobre el tema de los biocombustibles y que en el escenario del análisis planteado entre microalgas y simulación de procesos aún existe poca información que corresponda al concepto de biorrefinería. Basados en un análisis global de los resúmenes de la mayor parte de las publicaciones, se identificaron las principales dificultades y vacíos informativos que se han tenido en los procesos bajo el concepto de biorrefinerías, especialmente relacionado con los procesos, los costos, la economía circular y los aspectos ambientales.

PALABRAS CLAVES: Microalgas; Análisis bibliométrico; Bases de datos; Biorrefinería; Simulación de procesos; *Chlorella vulgaris*; Biocombustibles; Compuestos bioactivos; Biomasa; Software VosViewer.

INTRODUCTION

Due to their metabolic development, microalgae consume much more CO₂ than vegetables (Wang *et al.*, 2008; Yen *et al.*, 2013), they also contain a larger concentration and variety of other compounds with high added value. For this reason, microalgae have taken great importance in the biofuel sector (diesel, ethanol, methane and even hydrogen) (Subhadra and Edwards, 2011), wastewater treatment (Mata *et al.*, 2010), CO₂ capture, and procurement of bio-products from pigments, proteins, lipids and carbohydrates. Thus, harnessing of microalgae is being foreseen in the development of energy, food and health (Usov *et al.*, 2001; Ho *et al.*, 2011; Koller *et al.*, 2014; Koutra *et al.*, 2020).

Therefore, microalgae have been considered as a promising and attractive raw material, the microalgae could be an interesting source for a biorefinery. A microalgae biorefinery could have different alternatives for technological selection: chemistry, biochemistry and thermochemistry. The set of several process units for microalgae biomass should involve sustainability concepts, wide range of products and profitability (Dimian & Bildea, 2008; Gorry *et al.*, 2018).

In microalgae carbohydrates exist mainly in the form of cellulose and hemicellulose, without lignin in the cell wall structure, which is a great advantage for their utilization over lignocellulosic plants (Ma *et al.*, 2020). Ho *et al.* (2013) point out that with sufficient nitrates in the cultures, *Chlorella vulgaris* FSP-E reaches carbohydrate contents of 12,16 %. While the same *C. vulgaris* FSP-E in

culture conditions with nitrite deficiencies, carbohydrate contents can reach up to 54,13 %. The photosynthetic efficiency of algae helps to maintain carbohydrate contents (Yen *et al.*, 2013).

Hu *et al.* (2008) point out that fatty acids commonly synthesized by microalgae, have saturated or unsaturated molecular chains of 16 to 18 carbons. The unsaturated ones may vary in the number and position of the double bonds. Among Chlorophyceae species of *Chlorella vulgaris*, 18 % emerge as C16:0, 5 % as C16:1 ω 7, 12 % as C16:2 ω 7, 2,1 % as C16:3, 9,2 % as C18:1 ω 9, 43 % as C18:2 ω 6 and 10 % as C18:3 ω 3. Previously Chisti, (2007), indicated the oil content on dry basis for *Chlorella sp* of 28-32 %, for *Nannochloropsis sp* of 31-68 % and for *Dunaliella primolecta* of 23%. For the same oil production, microalgae require between 2–4,5 MHa for cultivation, while oil plants require 45 to 1540 MHa, reducing logistic costs by more than 50 %, mainly related to transportation.

Becker (2006), comments that in *Chlorella vulgaris*, *Dunaliella salina*, *Scenedesmus obliquus* and *Spirulina platensis*, the percentage of protein on dry basis is 51-58, 57, 50-56 and 46-63 % respectively, although there are also amino acids among the nitrogenous constituents. Ursu *et al.* (2014) found that the essential amino acids (threonine, valine, methionine, isoleucine, leucine, phenylalanine, lysine and tryptophan), for *Chlorella vulgaris*, amounted to 38 % of the total amino acids using protein precipitations at their isoelectric point and tangential ultra-filtration of alkaline solutions. They also concluded that extractions at pH 12 improve the solubilization of proteins, decreasing the capacity and stability of the emulsions, while at pH 7, the opposite is true.

Iwamoto (2004), using a strain of the microalga *Chlorella vulgaris* (called *C. regularis* M-1), succeeded in mutating it by UV radiation to obtain a strain called Y21. This strain, grown heterotrophically in an axenic culture, produced approximately twice as many carotenoids, chlorophyll and other pigments as the original strain. Similarly, he achieved to have a volumetric cell concentration of 360 mL/L in a 10 m³ culture of *C. regularis*, after 40 h. Using a glucose supply under heterotrophic conditions, with a dry basis content of 7 mg/g carotenoids (3,5 mg lutein, 0,5 mg α -carotene, 0,6 mg β -carotene) and 35 mg/g chlorophyll.

Seyfabadi *et al.* (2011), mention that the contents of pigments, proteins, lipids, carbohydrates and, in general, the growth of the microalga *Chlorella vulgaris* depends on lighting conditions. In particular, they commented that augmentation in the duration and frequency of exposure decreased the presence of chlorophyll-a, while the presence of β -carotene increased. Likewise, they stated that the maximum chlorophyll-a content (13,25 mg/mL), was reached at illumination conditions of 37,5 (μ mol photons/m²s) and 16:8 h of photoperiod and the minimum amount (7,4 mg/mL) was obtained at 100 (μ mol photons/m²s) and 16:8 h. The highest amount of β -carotene (0,07 pg/cell), was obtained at 100 (μ mol photons/m²s) and 16:8 h, while the lowest amount observed (0,02 pg/cell), was found at 37,5 (μ mol photons/m²s) and 8:16 h.

Obtaining biofuels from microalgae has been considered as third generation (Chowdhury and Loganathan, 2019), but a better use should be directed to the treatment under the concept of biorefineries. Cicci *et al.* (2018), point out that under this concept, there is a better use of microalgae compounds. They presented results on the use of solvents with hydrophobic to hydrophilic permutable capacity of *Scenedesmus dimorphus* for extractions. From N,N-dimethylcyclohexylamine with CO₂, they reached a yield extraction of proteins, carbohydrates and lipids, up to 52, 51,4 and 96,1 %, respectively.

In general, it is clear that there is already a lot of research on microalgae related to crops, compositions and their utilization(Gouveia & Oliveira, 2009; Gouveia, 2011). But, due to the depth and number of them, it is increasingly difficult to locate the queries and select those of greatest

interest to a particular user. A good way to have a global overview is to try to systematically map the information in available databases, so that the user can be oriented as to where and towards the research is directed.

This systematic mapping corresponds to the so-called bibliometric analysis. These analyzes offer an approach to study the co-occurrence of the available information, which offers new opportunities to visualize the information. The bibliometric analysis then becomes more than a statistic and allows solving the study problem of simply associating the topics of interest with authors and journals (Ding *et al.*, 2001).

For this reason, the objective of the present work was to make a bibliometric analysis, using widely disseminated database search engines and to evaluate keyword connectors with computational tools.

METHOD

In order to have a general framework on the integral use of microalgae and the progress in simulation in these processes, a general search was initially carried out in Scopus associating the words "biorefinery" and "microalgae". Then, connectors related to the words "process simulation" were added in different ways. The information obtained for all types of documents, was carried out in two periods, the first from 2015 to 2020 and the second from 2012 to 2020. The documents were taken with all information reported on authors, keywords, authors' institutional affiliation, type of document, subject area, number and type of citations, bibliography, financings and year of publication.

The search connector relationships were as follows:

(TITLE-ABS-KEY (biorefinery) W/2 TITLE-ABS-KEY (microalgae)) and (LIMIT-TO (DOCTYPE , "ar") or LIMIT-TO (DOCTYPE , "re") OR LIMIT-TO (DOCTYPE , "ch") OR LIMIT-TO (DOCTYPE , "cp") OR LIMIT-TO (DOCTYPE , "bk")) AND (EXCLUDE (PUBYEAR , 2021)) AND (EXCLUDE (PUBYEAR , 2014) OR EXCLUDE (PUBYEAR , 2013) OR EXCLUDE (PUBYEAR , 2012) OR EXCLUDE (PUBYEAR , 2011) OR EXCLUDE (PUBYEAR , 2010) OR EXCLUDE (PUBYEAR , 2009) OR EXCLUDE (PUBYEAR , 2009)) (TITLE-ABS-KEY (microalgae) AND TITLE-ABS-KEY (process simulation)) AND (EXCLUDE (PUBYEAR , 2020)).

Additionally, the search was expanded using the database "Wizdom.ai" with the word "Biorefinery"; considering that this database uses artificial intelligence principles to collect information on the number of citations, publications, funding and scientific journals of scientific importance related to the search topic. Scientific production was also identified geographically from the results of the second search equation in Scopus, proposing an indicator that would relate the number of publications and the number of citations to the documents associated with different countries.

Finally, the information found was systematized in the VosViewer software (version 1.6.14), to visualize networks of association and connection of nodes with 107 words, mainly with the words "biofuel", "biomass", "microorganism", "microalgae" and "biodiesel". The type of analysis was by co-occurrence, with the unit of analysis "All keywords" and with "full counting" (van Eck and Waltman, 2010).

RESULTS

A total of 146 documents was found for the connector equation in the initial search, and 37 documents in the second search. Together, the search performed in Wizdom.ai for the term "Biorefinery" resulted in a total of 4271 documents associated with publications between the period from 2015 to 2020, presenting a sustained trend of increasing publications, which allows inferring the continued interest in the assessed areas of knowledge (Figure 1).

However, the difference in number of publications obtained through Wizdom.ai compared to Scopus reports, for the first equation, evidences those under the concept of biorefineries with microalgae only represented 3 % of the total of publications on Wizdom.ai (Figure 1). In fact, there are not many researchers and institutions that have worked under the concept of biorefineries (Table 1), and even less publications and authors, also involving microalgae, especially in the last nine years (Table 2).

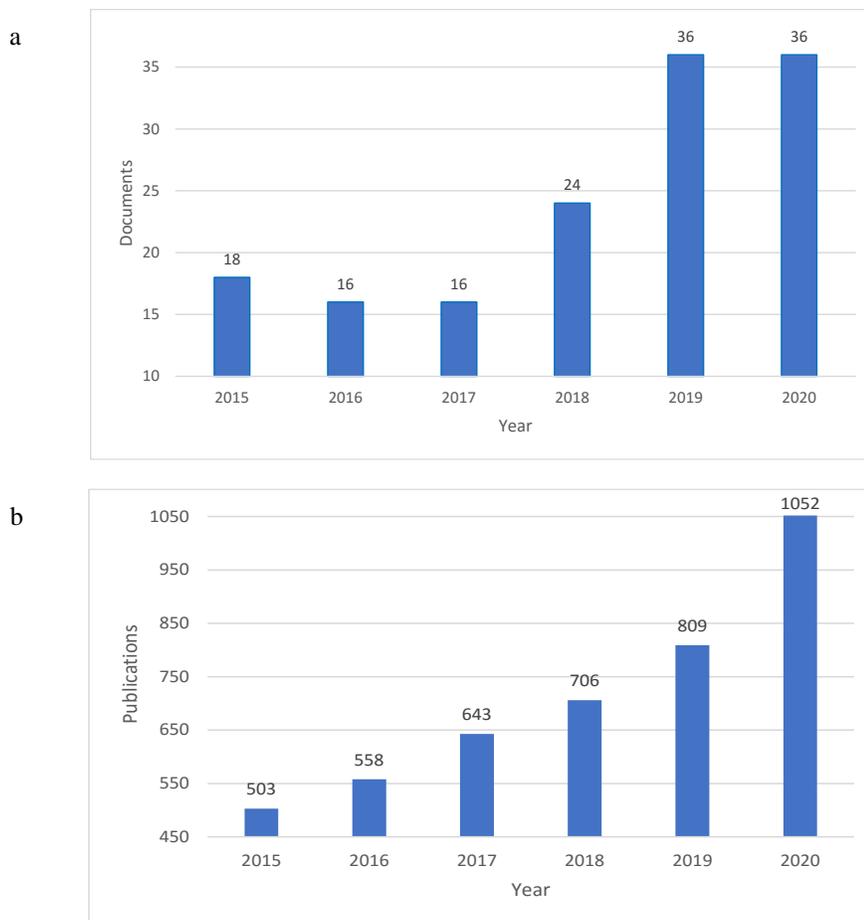


Figure 1. a) Number of documents by year for "biorefinery – microalgae" between 2015 and 2020 - Scopus. b) Number of publications by year for "Biorefinery" between 2015 and 2019 – Wizdom.ai.

Table 1. Authors with highest number of publications on biorefinery studies between 2001 and 2021 – Wizdom.ai.

Author	Number of publications on Wizdom.ai	Institution
Run-Cang Sun	51	Chinese Academy of Sciences
Carlos A. Cardona	43	National University of Colombia

Antonis Kokossis	38	National Technical University of Athens
Bruce E. Dale	36	Michigan State University

It could be considered that the increase in publications in recent years on topics associated with biorefineries and microalgae relates to environmental and economic issues. During the last decades, regulations for greenhouse gas emissions, the use of new energy sources and the use of biomass have increased. The biorefinery concept seems to be novel and attractive for the industrial sector, seeking to improve economic elements and product diversification and comprehensive use of its raw materials.

One of the most cited works of Run-Cang Sun is related to the study of the structure of lignin (Sun *et al.*, 1998), whereas initially the concept of biorefinery is based on the use of lignocellulosic biomass. In addition, Colombian researchers Sánchez, O.J. and Cardona, C.A. addressed the study of the biotechnological production of ethanol using different raw materials and is one of their most referenced publications by other authors (Sánchez & Cardona, 2008).

Indeed, Bruce E. Dale and Antonis Kokossis have worked around proposals that allow progress in the techno-economic and environmental sustainability of lignocellulosic biomass and microalgae biorefineries (Psycha *et al.*, 2020; Liu *et al.*, 2021).

Table 2. Top 10 authors on studies of simulation processes and microalgae between 2012 and 2020.

Author	Number of publications on Scopus	Institution
Kafarov, V.	6	Universidad Industrial de Santander, Colombia
Iaquaniello, G.	5	Bio-P S.r.l.
Piemonte, V.	5	Università Campus Bio-Medico di Roma
González-Delgado, A.D.	3	Universidad de Cartagena, Colombia
Zhang, D.	3	Department of Chemical Engineering and
Aziz, M.	2	Analytical Science, Manchester, United Kingdom
Buonanno, G.	2	Università Campus Bio-Medico di Roma
Cicci, A.	2	Bio-P S.r.l.
De Medeiros, J.L.	2	Universidade Federal do Rio de Janeiro
De Queiroz Fernandes	2	Universidade Federal do Rio de Janeiro
Araújo, O.		

In the last six years, studies related to "biorefinery" and "microalgae", almost 60 % of the research (Figure 2), have been directed to the knowledge areas of chemical engineering (20,7 %), energy (20,7 %) and environmental sciences (18 %). However, exhibiting the multidisciplinary nature of the field of microalgae and biorefineries with research on antibacterial, anticancer, antioxidant and immunomodulatory active compounds (Chu and Phang, 2019; Jacob-Lopes *et al.*, 2019), the participation of disciplines such as "Biochemistry", "Immunology" and "Computer science" is beginning to increase.

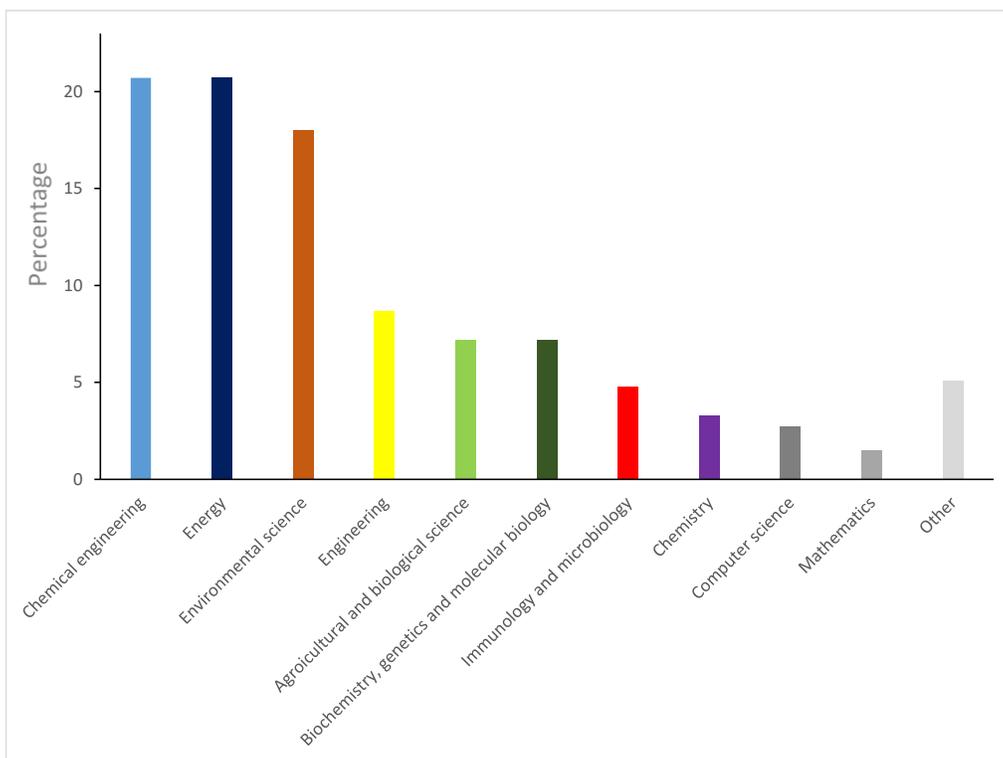


Figure 2. Contributions on “biorefinery-microalgae” by area of knowledge between 2015 and 2020.

Regarding the results obtained in Scopus concerning "microalgae - process simulation" (Figure 3) the trends are similar, but there are more quantitative differences in the participation of chemical engineering and the other fields of knowledge. It seems that little research is done in process simulation in the basic sciences, representing less than 20 % of the total number of publications in this area. It is interesting to note that South American countries, such as Colombia and Brazil (Table 3), despite being emerging countries, are on a par with the United States and jointly above powers such as Japan and Australia.

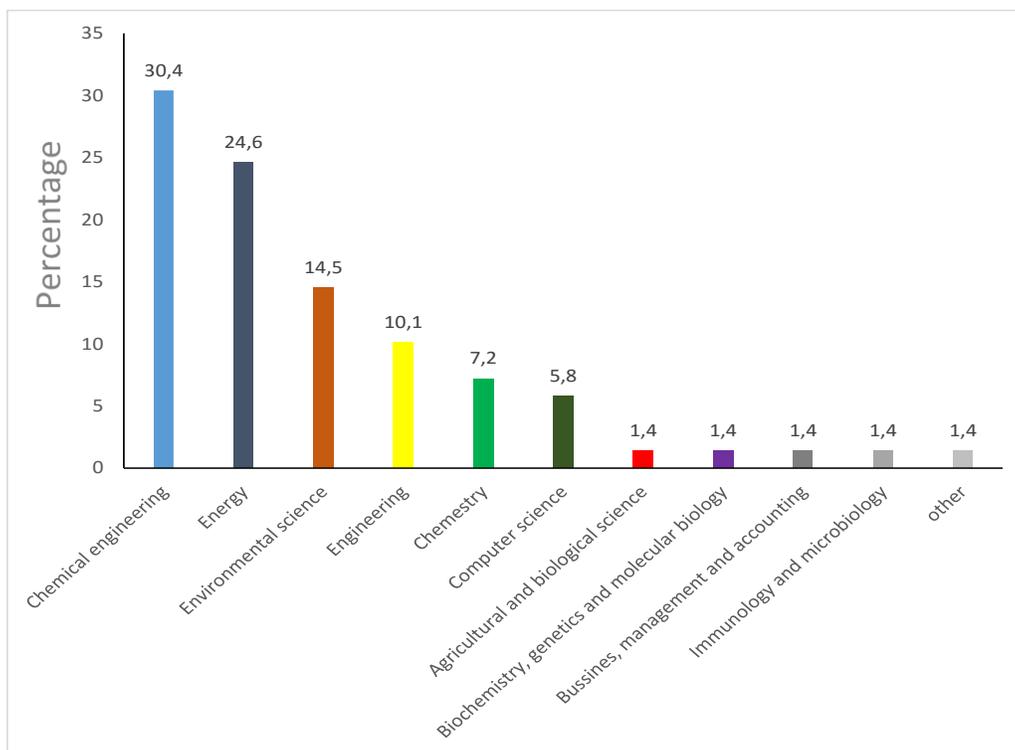


Figure 3. Contributions on "biorefinery-microalgae" by area of knowledge between 2012 and 2020.

Table 3. Top 10 leading countries on process simulation and microalga studies between 2012-2020.

	Country	Number of publications	Number of citations	Number of citations/Number of publications	
1	Italy	8	70	8,8	8
2	Colombia	7	68	9,7	6
3	Brazil	4	47	11,8	4
4	Spain	4	42	10,5	5
5	United Kingdom	4	23	5,8	9
6	United States	4	111	27,8	1
7	Japan	3	47	15,7	2
8	Indonesia	2	8	4,0	10
9	Romania	2	18	9,0	7
10	Australia	1	15	15,0	3

In Vosviewer topic network (Figure 4) there are five identifiable clusters (Table 4). The publications of the smaller clusters, which have few associated topics (14 and 18), do not include the concept of refineries neither that of biorefineries. From the type of keywords, it would seem that the smaller connector group is formed by general publications related to biodiesel production and its possible purification. The group of 18 words seems to be publications related to cultivation of *Chlorella vulgaris*. In one of the groups with 23 words, one has global aspects of biorefineries from microalgae (cluster III), integrating economics, environment, life cycles, sensitivity analysis, energy balances and sustainable development, but more directed to biodiesel. The other group of

Cluster III	23 words: bioconversion, biofuels, biorefinery, climate change, costs, economic analysis, economic aspect, economics, energy balance, environmental impact, fossil fuels, greenhouse gases, integer programming, investments, life cycle, life cycle analysis, life cycle assessment, microalgal biorefinery, optimization, sensitivity analysis, sustainable development, techno-economic assessment, transesterification
Cluster IV	18 words: article, bioreactor, biorefinery concept, carbon, cell proliferation, chlorella vulgaris, controlled study, cultivation, cyanobacterium, ecology, electric fields, extraction methods, microalga, microalgae cultivation, nonhuman, pigment, protein, pulsed electric field.
Cluster V	14 words: biodiesel, biodiesel production, biotechnological production, carbohydrate, carotenoid, fatty acid, fatty acids, feedstocks, genetic engineering, isolation and purification, lipid, metabolism, nitrogen, procedures.

Concerning only connectors related to simulation processes with microalgae, three clusters were identified (See Figure 5 and Table 5). One group of publications (Cluster III), related to water treatment and sustainable environmental development under the environmental concept. The other two are more numerous and with more number of keywords (11). One inclines to economic analyses to obtain biodiesel, while the other seems to tend to the consumption of carbon dioxide gas from microalgae. In these groupings, the research gap in the simulation processes related to the whole use of biomass is even more evident.

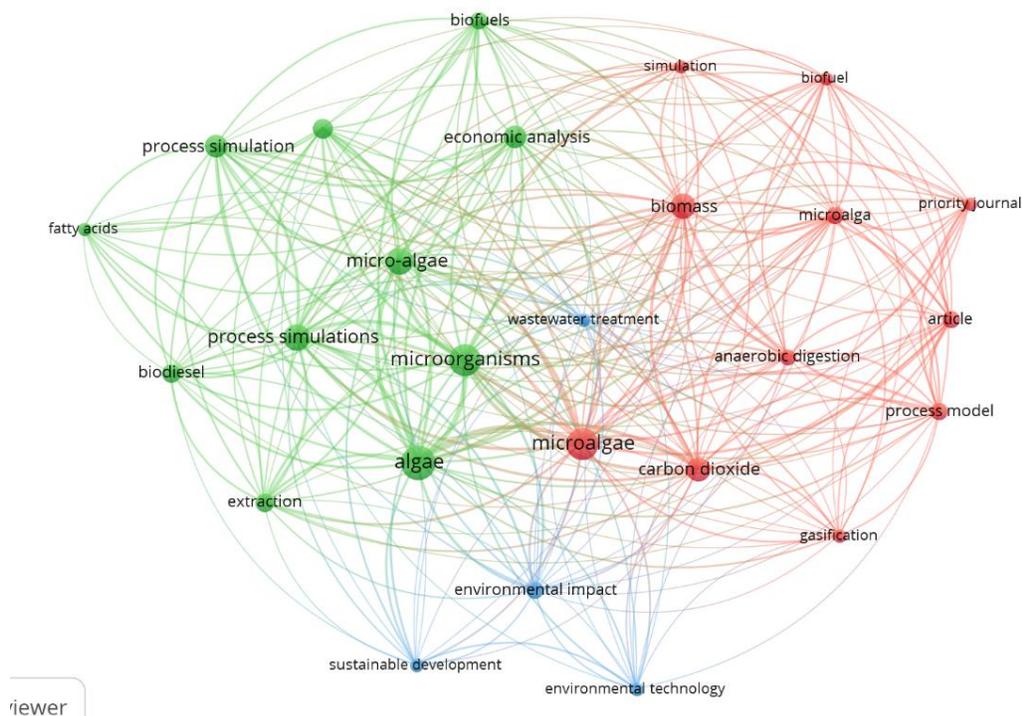


Figure 5. Research-topic network visualization of publications related to process simulation and microalgae between 2012-2020.

Table 5. Clusters and words obtained from co-occurrence network analysis of keywords in the search “process simulation-microalgae” between 2012-2020.

Cluster	Words
Cluster I	11 words: anaerobic digestion, article, biofuel, biomass, carbon dioxide, gasification, microalga, microalgae, priority journal, process model, simulation
Cluster II	11 words: algae, biodiesel, biofuels, computer software, economic analysis, extraction, fatty acids,

	micro-algae, microorganisms, process simulation, process simulations
Cluster III	4 words: environmental impact, environmental technology, sustainable development, wastewater treatment

Critical processes with microalgae: overview

According to data obtained from Wizdom.ai database (Wizdom.ai, 2021), in the last 10 years about USD 620.000.000 has been invested in projects related to biorefineries. However, Lopes *et al.*, (2019), state the existing difficulties regarding fermentation operations on hydrolysis of polysaccharides and trans-esterification of saturated fatty acids present in the lipids of microalgae, to obtain ethanol and biodiesel. The inclusion of these types of transformation operations is associated with higher CAPEX and OPEX (capital investments and operating expenses), but there is little research on this topic concerning microalgae, compared to the greater experience with fossil fuels. Armshaw P and J T Pembroke, (2015), based on the Calvin cycle biosynthesis metabolic pathway, with concentrations of less than 10 g/L of bio-ethanol, using a genetically modified strain of the cyanobacterium *Synechosystis sp.* called PCC 6803, were able to improve CAPEX and OPEX. This study was called "DEMA" (Direct Ethanol from MicroAlgae), evidencing that more scale-up trials would be needed to have a more detailed information on possible industrial productions, involving more products.

The most representative bottlenecks in open cultures are, due to large volumes, rapid contamination that usually occurs with other microorganisms and uncontrollable meteorological variations. After harvesting cultures for the extraction of intracellular components, it is important to perform a cell disruption of the microalgae, which is also difficult to find low-cost methods, since efficient lysis requires micromilling, high cavitation, pressures, magnetic or electrical pulses, or non-conventional techniques. Otherwise, the efficiencies of metabolite extractions, such as lipids, proteins and pigments, astaxanthin and β -carotene type, among others, are not good (Brennan and Owende, 2010).

The lipid content in the microalgae has made its extraction attractive to obtain biodiesel through the transesterification process, where fatty acids together with alcohol in the presence of a base form esters and glycerol (Demirbas, 2010; Rangabhashiyam *et al.*, 2017). However, the challenge lies in the need to separate and condition the variety of lipids (mainly unsaturated fatty acids, phospholipids and omegas).

Alcohols can be produced from the carbohydrates in the microalgae, but they must be separated, hydrolyzed and fermented. In chemical hydrolysis there is a need for detoxification. If it is enzymatic with cellulases, hemicellulases, amyloglucosidases, pectinases or mixtures of these, it is difficult to have yields higher than 50 %, although in certain optimizations it has reached 90 % (de Farias Silva and Bertucco, 2016). Research with *Chlorella vulgaris* (IAM C 534) and *Chlamydomonas reinhardtii* (UTEX 2247) has reported complex processes of ultrasonic lysis of microalgae, followed by enzymatic hydrolysis and fermentation with the yeast *Saccharomyces cerevisiae*, in addition to a study of intracellular ethanol production with the dark fermentation technique (Hirano *et al.*, 1997). A distillation process is required to remove water and other impurities in the diluted alcoholic product (10-15 % ethanol), to concentrate it to 95 % (Brennan and Owende, 2010).

In 2011, the company Joule Unlimited (USA), initiated a project to build an industrial plant for the processing of cyanobacteria. The company estimated ethanol productivity at more than 230.000

L/(ha. year) with a production cost of USD \$ 0,16/L with subsidies and USD \$ 0,32/L without them. Algenol estimated its bio-ethanol productivity at more than 60.000 L/(ha*year) with an approximate production cost of USD \$ 0,79/L. In any scenario, the potential application of bio-ethanol production will increase as operating costs decrease permanently (de Farias Silva and Bertucco, 2016).

The accumulation of different components in microalgae (mainly lipids, carbohydrates, proteins and pigments) requires different conditions and separated operating strategies. Which makes it difficult to develop an efficient and low-cost processing sequence for better utilization of biomass. Moreover, it is necessary to involve aspects related to energy, circular economy and life cycle analysis (Yen *et al.*, 2013).

The main parameters for the selection of a pigment extraction technology are speed, solvent use, reproducibility, extraction yields, selectivity, protection of the extracted molecules, costs and facilities (Pasquet *et al.*, 2011). Conventional methods include the use of solvents, which in turn depends on the nature of the pigment. Ethanol, acetone, methanol, n-hexane, diethylether and chloroform have been used to extract pigments from microalgae in combination with different techniques, such as supercritical fluid extraction (SFE). Non-conventional methods comprise the use of electrical techniques, for example, electrical pulses, which do not generate heat during the process. High-voltage electrical discharges, microwaves, and ultrasound-assisted extraction are other methods that have been used (Silva *et al.*, 2020).

The protein content of microalgae is between 50 and 70 % (Chew *et al.*, 2017). There are 20 amino acids of which 8 are essential (isoleucine, leucine, valine, lysine, phenylalanine, methionine, tryptophan and threonine). Microalgae such as *Chlorella vulgaris*, *Scenedesmus obliquus*, *Spirulina platensis*, have percentage values between 1-10 % per amino acid depending on the species (Vanthoor-Koopmans *et al.*, 2013). Proteins can be solubilized in organic solvents with surfactants, maintaining their functional properties, and then can be transferred to aqueous solutions. Conventional techniques use centrifugation or filtration to separate cellular material from the soluble components in the liquid medium, in this sense non-secreted proteins are lost. Using liquid-liquid extraction technology after cell disruption, the products of interest can be extracted from the cellular material, prior to further separations (Vanthoor-Koopmans *et al.*, 2013).

CONCLUSIONS

It is clear that the integral use of microalgae has diversified the production of many products, but there is still a need to integrate more professions, especially those related to basic and medical sciences. Likewise, there are not many countries that have shown a strong interest in conducting research with microalgae.

Although research related to the use of microalgae under the concept of biorefineries has grown by more than 70 % in the last six years, there are still many information gaps in terms of process data, costs, circular economy and environmental impacts that help to simulate the optimization of the systems.

The main difficulties encountered in microalgae processing, start with improving microalgae concentrations in open cultures to lower costs appreciably. The extractive processes of the compounds of interest depend heavily on cell lysis and integral separation strategies of the products of interest.

The prospective technological application of microalgae for environmental matters shows an important tendency towards the treatment of wastewater, the capture of CO₂, and life cycle assessment that around the production of biofuels can be applied.

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